IN THE SUPREME COURT OF FLORIDA

CASE NO. 54,623

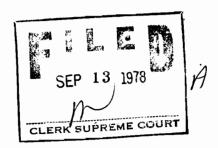
DEAN FORSBERG and WALTER FREEMAN,

Appellants,

vs.

THE HOUSING AUTHORITY OF:
THE CITY OF MIAMI BEACH
and MURRAY GILMAN, Executive:
Director,

Appellees.



DIRECT APPEAL FROM THE CIRCUIT COURT OF THE 11TH JUDICIAL CIRCUIT, IN AND FOR DADE COUNTY, FLORIDA.

INITIAL BRIEF OF APPELLANTS

JOHN LAZARUS
LARRY BAKER
CHARLENE CARRES
LEGAL SERVICES OF GREATER MIAMI, INC.
1393 Southwest 1st Street
Miami, Florida 33135
Télephone: (305) 579-5757

TABLE OF CONTENTS

		PAGE
Cit	tation of Authorities	ii
Poi	ints on Appeal	iii
Sta	atement of Facts	1 - 3
Sta	atement of Case	4 - 8
Sun	nmary of Argument	9 - 10
Argument:		11
I.	FEDERAL CONSTITUTIONAL GUARANTEES OF PRIVACY PROTECT PUBLIC HOUSING TENANT-APPLICANT FILES FROM GENERAL PUBLIC RUMMAGING PURSUANT TO THE FLORIDA PUBLIC RECORDS ACT	11 - 26
II.	APPELLANTS CAN NOT BE DENIED THEIR CONSTITUTIONALLY GUARANTEED RIGHT TO PRIVACY MERELY BECAUSE THEY HAVE EXERCISED THEIR FEDERALLY GUARANTEED RIGHT TO PUBLIC HOUSING.	26 – 28
III.	THE FLORIDA CONSTITUTIONAL GUARANTEES OF PRIVACY PROTECT PUBLIC HOUSING TENANT-APPLICANTS FROM GENERAL PUBLIC RUMMAGING PURSUANT TO THE PUBLIC RECORDS ACT.	28 - 33
IV.	THERE IS NO COMPELLING STATE INTEREST IN PUBLIC RUMMAGING OF TENANT-APPLICANT FILES	33 - 41
٧.	PUBLIC POLICY MANDATES THAT PUBLIC HOUSING TENANT-APPLICANT FILES BE EXEMPT FROM GENERAL PUBLIC RUMMAGING PURSUANT TO THE FLORIDA PUBLIC RECORDS ACT.	42 - 55

TABLE OF CONTENTS (Continued)

	PAGE
Conclusion	56
Certificate of Service	57

CITATION OF AUTHORITIES

CASES

	PAGES			
Battaglia v. Adams, 164 So. 2d 195 (Fla. 1964)	29			
Bellis v. U.S., 417 U.S. 85 (1974)	16			
Carey v. Population Services International, 431 U.S. 678 (1977)	13,17,41,52			
Cason v. Baskin, 155 Fla. 198, 20 So. 2d 243 (1945)	9,28,42,43,50,53 54			
Eisenstadt v. Baird, 405 U.S. 453 (1972)	17			
English v. McCrary, 348 So. 2d 293 (Fla. 1977)	28			
Florida East Coast Railway Co. v. Rouse, 194 So. 2d 260 (Fla. 1967)	50			
Garrity v. New Jersey, 385 U.S. 493 (1962)	9,26			
Griswold v. Connecticut, 394 U.S. 557 (1969)	15,16,17			
Hammonds v. Buckeye Cellulose Corporation, 285 So. 12 2d 7 (Fla. 1973)				
Harless v. State ex rel Schellenberg, 360 So. 2d 83 (Fla. 1st DCA 1978)	21,24,25,28,29,31			
<pre>In re Grand Jury Investigation, 287 So. 2d 43 (Fla. 1964)</pre>	32,33,40,41,52 41			
Jacova v. Southern Radio and Television Co., 83 So. 2d 34 (Fla. 1955)	50			

CASES (Continued)

	PAGES
Katz v. U.S., 389 U.S. 347 (1969)	16
Kluger v. White, 281 So. 2d 1 (Fla. 1973)	50, 53
Lee v. Beach Publishing Co., 127 Fla. 600, 173 So. 440 (1937)	44
<pre>Markham v. Markham, 265 So. 2d 59 (Fla. 1st</pre>	29
Moore v. City of East Cleveland, 431 U.S. 494 (1977)	17
News Press Publishing Co. v. Wisher, 345 So. 2d 646 (Fla. 1977)	;
Nixon v. Administrator of General Services, 433 U.S. 425 (1977)	9, 22, 23
Patterson v. Tribune Company, 146 So. 2d 623 (Fla. 2d DCA 1962)	45, 46
Pennypack Woods Home Ownership Assn. v. Dahlberg, 47 L.W. 2058 (C.P. Phila July 1978)	9, 23, 24, 28
Peters v. Brown, 55 So. 2d 334 (Fla. 1951)	54
Plante v. Gonzalez, 575 F. 2d 1119 (5th Cir. 1978)	
Roe v. Wade, 410 U.S. 113 (1973)	37,38 9,10,15,17,20,25, 37,41
Shevin v. Sunbeam Television Corp., 351 So. 2d 723 (Fla. 1977)	•
Stanley v. Georgia, 381 U.S. 479 (1965)	15, 17

CASES (Continued)

		PAGES			
State v. Bruno, 104 So. 2d 588 (Fla. 1958)		54			
State v. Egan, 287 So. 2d 1 (Fla. 1973)		50			
State ex rel Culmer v. Pace, 118 Fla. 496, 159 679 (1935)	so.	49			
Veale v. City of Boca Raton, 353 So. 2d 1194 (Fla. 4th DCA 1977)		48,49			
Whalen v. Roe, 429 U.S. 589 (1977)		18,33,34			
Wisher v. News Press Publishing Co., 310 So. 2 (Fla. 2d DCA 1975)	d 345	44,46,47,50			
Wyman v. James, 400 U.S. 309 (1971)		9,27			
STATUTES					
§ 119.01 <u>et seq</u> ., Fla. Stat. (1975)	4,5,9,	12,13,30,40,43			
§ 28.19 Fla. Stat. (1962)	57,40, 45	,48,49,50,51,52			
§ 286.011 Fla. Stat. (1975)	47				
OTHER AUTHORITIES					
42 U.S.C. 1401 <u>et seq</u> .	1,26,2	?			
1977 OP. Atty Gen. Fla. 077-69 (July 11, 1977) Art. 1 §21 Fla. Const.	3,4 53				
Art V. § 3 (b)(1) Fla. Const.	4				
Fla. R. App. P. 9.030(a)(1)(A)(ii)	4				

POINTS ON APPEAL

POINT I

WHETHER FEDERAL CONSTITUTIONAL GUARANTEES OF PRIVACY PROTECT PUBLIC HOUSING TENANT-APPLICANT FILES FROM GENERAL PUBLIC RUMMAGING PURSUANT TO THE FLORIDA PUBLIC RECORDS ACT?

POINT II

WHETHER APPELLANTS CAN BE DENIED THEIR
CONSTITUTIONALLY GUARANTEED RIGHT TO
PRIVACY MERELY BECAUSE THEY HAVE EXERCISED
THEIR FEDERALLY GUARANTEED RIGHT TO PUBLIC
HOUSING?

POINT III

WHETHER THE FLORIDA CONSTITUTIONAL GUARANTEES
OF PRIVACY PROTECT PUBLIC HOUSING TENANTAPPLICANTS FROM GENERAL PUBLIC RUMMAGING
PURSUANT TO THE PUBLIC RECORDS ACT?

POINT IV

WHETHER THERE IS A COMPELLING STATE INTEREST IN PUBLIC RUMMAGING OF TENANT-APPLICANT FILES.

POINT V

WHETHER PUBLIC POLICY MANDATES THAT PUBLIC HOUSING TENANT-APPLICANT FILES BE EXEMPT FROM GENERAL PUBLIC RUMMAGING PURSUANT TO THE FLORIDA PUBLIC RECORDS ACT?

STATEMENT OF FACTS

Appellants, MR. DEAN FORSBERG and MR. WALTER
FREEMAN, live in public housing operated by the Housing
Authority of the City of Miami Beach, Florida. MR. FORSBERG
is disabled and living on Social Security disability benefits.
MR. FREEMAN is 84 years of age and has no assets. (R-1)(A-1).
They bring this action on behalf of themselves and on behalf
of the proposed class of approximately 1539 tenants and 2300
applicants (R-48), who are compelled by their economic circumstances to seek and accept Miami Beach Public Housing.

Public Housing is provided by Appellees as housing of last resort for those of limited income. It is the only source of safe, sanitary and decent housing, available at low cost, to low income individuals, such as Appellants and their proposed class. (R-4)(A-4).

The public housing provided by Appellees is built with and subsidized by funds from the United States Department of Housing and Urban Development (HUD) in accordance with 42 USC 1401 et seq. Rents paid by Appellants are determined by considering items such as family income, including income of children, age, medical expenses and disabilities or

handicap. 42 U.S.C. 1437(a). The difference between the rents paid by the Appellants, and the cost of providing the housing is provided to the Housing Authority pursuant to an annual contributions contract entered into with HUD. 42 U.S.C. 1437(c)(R-3, 4)(A-3,4).

Appellants provided to Appellees information of a personal and confidential nature concerning their family status and relationship, income, expenses, assets, employment and medical history, (R-3)(A-3). The files also reflect their name, address, sex, age, occupation, next of kin, social service requests and needs (R-40). This information was provided by Appellants as a condition of obtaining safe and sanitary housing at a price they could afford (R-3)(A-3). Appellants lawfully and honestly included the correct information required with the expectation that the personal information provided would remain confidential (R-3)(A-3).

Indeed, until July 19, 1977, the Miami Beach Housing

Authority considered the tenant-applicant files to be confidential, and refused to allow the general public to view these files (R-4)(A-4). On or about July 19, 1977, Appellee

MURRAY GILMAN, Executive Director of the Miami Beach Housing

Authority, implemented a new policy of making all

tenant-applicant files available to unfettered and uncensored public viewing, in accordance with an Attorney General's opinion which he had requested, 1977 Op. Att'y. Gen. Fla. 077-69 (July 11, 1977), (A-10), interpreting §119.07 Fla. Stat. (1975) as it pertained to tenant files. After the policy change, as of February 15, 1978, eight persons had requested to see the tenant-applicant files. (R-45, 46). requesting parties were allowed to view the original files with no deletions to protect sensitive and potentially damaging information (R-40, 43). The Miami Beach Public Housing Authority does not require the requesting party to state any reason or purpose for wanting to obtain access to the information maintained on tenants and applicants (R-42) and gives no instruction or advice regarding the confidentiality of said information (R-42). Appellants have suffered and will continue to suffer humiliation, embarrassment, needless invasion of their personal privacy, denial of their right to be let alone, harassment and other adverse consequences when information concerning their personal lives is subject to public inspection (R-4, 5)(A-4,5).

Appellants have brought this action to enjoin any further disclosure of their records.

STATEMENT OF CASE

This is an appeal from a Final Order of the Circuit Court dismissing Appellants' Complaint for failure to state a cause of action. (R-51, 52)(A-8,9). Appellants were the Plaintiffs below. Appellees, the Housing Authority of the City of Miami Beach and Murray Gilman, Executive Director, were the Defendants below. In the brief the parties will be referred to as Appellant and Appellee. The symbol "R" will designate the Record on Appeal. The symbol "A" will designate the Appendix.

In its Order on Appellees' Amended Motion to Dismiss, the Circuit Court passed directly on the validity of Chapter 119 Florida Statutes (1975), as it applied to the subject tenant files, and construed controlling provisions of the Florida and Federal Constitutions, finding that said statute was valid and not violative of the Florida and Federal Constitutions (R-51, 52) (A-8,9). This court has jurisdiction to hear this appeal pursuant to Art. V §3(b)(1) Fla. Const. and Fla. R. App. P. 9.030(a)(1)(A)(ii).

On or about July 12, 1977, Appellants were advised that, in accordance with Attorney General's Opinion No. 077-69,

July 11, 1977, the Housing Authority of the City of Miami
Beach was changing its long-standing position of protecting
the confidentiality of tenant-applicant public housing files
and would shortly thereafter begin allowing the general
public to view said files, pursuant to \$119.01 et seq. Fla.

Stat. (1975). On or about July 15, 1977, Petitioners filed
a class action suit in the Circuit Court of the 11th Judicial
Circuit in and for Dade County, Florida, Case No. 77-20990,
seeking injunctive and declaratory relief on the basis that
Appellants would suffer great humiliation, embarrassment and
an invasion of their right to privacy, if Appellees were allowed
to release their files to the public for inspection. Appellants
sought a temporary injunction against the release of said
records.

On July 20, 1977, an Order was entered by the Circuit Court denying Petitioner's Motion for Temporary Injunction. Petitioners then filed a Motion for an Order Maintaining The Status Quo in the Circuit Court. On July 20, 1977, Judge Turner of the Circuit Court denied the Motion.

Appellants then filed a Notice of Interlocutory

Appeal and a Motion for an Order Maintaining Status Quo Ante

in the District Court of Appeal of Florida, Third District.

Appellants sought, in the Third District, an emergency order that would maintain the status quo until the District Court had the opportunity to consider the merits of Appellants' Interlocutory Appeal.

On July 21, 1977, the District Court denied Petitioners' Motion.

On July 25, 1977, Appellees filed a Petition for Certiorari and Petition for a Constitutional Stay Writ in the Florida Supreme Court. On July 27, 1977, the Supreme Court of Florida denied the Petition for the Constitutional Stay Writ. On or about August 3, 1977, Appellants noticed their dismissal of their Petition for Certiorari in the Florida Supreme Court and their Interlocutory Appeal in the District Court of Appeal of Florida, Third District. On or about August 5, 1977, the District Court of Appeals of Florida, Third District, dismissed the interlocutory appeal filed by Appellants.

On or about August 8, 1977, the Supreme Court of Florida, upon Appellants' Notice of Dismissal, ordered that the Petition for Writ of Certiorari be dismissed.

On September 23, 1977, Appellants made a Motion for an Order for Leave to File an Amended Complaint in the Circuit Court. On October 6, 1977, the Motion was denied.

Petitioners took a voluntary dismissal of their

Complaint on October 12, 1977. On October 27, 1977, Appellants refiled their class action Complaint for declaratory and injunctive relief, having made certain amendments to their pleadings in the Circuit Court of the 11th Judicial Circuit.

(R-1)(A-1). Appellants further filed a Notice of Previous

Filing (R-13) and Appellees filed a Motion to Transfer (R-15).

On November 9, 1977, the Circuit Court issued an Order to

Transfer pursuant to Local Rule 10(a), and the case was transferred to the original Judge (R-14).

On November 17, 1977, Appellees filed their Motion to Dismiss (R-17). On January 25, 1978, Appellants filed a Motion For Determination of Class (R-32). On March 22, 1978, Appellee filed an Amended Motion to Dismiss (R-49). On June 9, 1978, after hearing argument, the Circuit Court entered its order granting Appellees' Amended Motion to Dismiss (R-51, 52) (A-8).

On June 22, 1978, the Court granted the Appellants'
Motion to Proceed in Forma Pauperis (R-54) and on July 5, 1978,

Appellants filed their Notice of Appeal (R-55), appealing the dismissal of their Complaint.

SUMMARY OF ARGUMENT

Section 119.01 et seq. Fla. Stat. (1975), as presently applied to public housing tenant-applicant files, provides for the general public rummaging of said files.

Appellants' files contain information of a personal and confidential nature concerning, inter alia, their family status and relationship. Such rummaging has subjected Appellants to humiliation, embarrassment and invasion of their "personhood", thereby violating public policy and their fundamental right to privacy as guaranteed by the Federal and Florida Constitutions. Nixon v. Administrator of General Services,

433 U.S. 425 (1977); Roe v. Wade, 410 U.S. 113 (1973); Plante v. Gonzalez, 575 F. 2d 1119 (5th Cir. 1978); Cason v. Baskin,

20 So. 2d 243 (Fla. 1945); Harless v. State ex rel Schellenberg,

360 So. 2d 83 (Fla. 1st DCA 1978).

Appellants cannot be denied their constitutionally guaranteed right of privacy merely because they have exercised their federally guaranteed right to public housing. Garrity v. New Jersey, 385 U.S. 493 (1967); Wyman v. James, 400 U.S. 309 (1971); Pennypack Woods Home Ownership v. Dahlberg, 47 L.W. 2058 (C.P. Phila. July, 1978).

The statute, as applied, invades "intimate protected relationships" without serving a compelling state interest for the invasion. Roe, supra at 152, 153; Harless, supra, at 91.

ARGUMENT

POINT I

FEDERAL CONSTITUTIONAL GUARANTEES OF PRIVACY PROTECT PUBLIC HOUSING TENANT-APPLICANT FILES FROM GENERAL PUBLIC RUMMAGING PURSUANT TO THE FLORIDA PUBLIC RECORDS ACT.

We have long understood that worship and speech are constitutionally protected, not only for their benefits to a democratic state, but also because liberty in such matters is the essence of personhood. We now know that the U.S. Constitution similarly protects privacy, another fundamental aspect of personhood, and that it does so without pretext. Harless v. State ex rel Schellenberg, 360 So. 2d 83, 90 (Fla. 1st DCA, 1978) (hereinafter referred to as Harless).

A. Appellants Will Suffer Humiliation And Invasion Of Their Personal Privacy If Intimate And Confidential Information They Provided To Secure Public Housing Is Released To The General Public.

Appellants assert that as public housing tenantapplicants, their constitutional right to privacy, both decisional and disclosural, mandates that the personal information placed on their applications and in their tenant files remain confidential and that said files and applications, due to constitutional guarantees of privacy, are exempt from \$119.01 et seq. Fla. Stat. (1975).

Appellants' Complaint was dismissed upon Appellee's Amended Motion to Dismiss (R-49-52)(A-8). The law in Florida is well established that in determining whether a valid cause of action has been stated, the Court, for the purposes of a motion to dismiss, must assume all facts alleged are true. Hammonds v. Buckeye Cellulose Corporation, 285 So. 2d 7 (Fla. 1973). Thus, Appellants' allegations that public housing provided the only safe, sanitary and decent housing available to them, and that they furnished, in confidence, information of a personal, confidential nature concerning family status and relationship, as a condition for obtaining such housing, the release of which would cause them humiliation, embarrassment and invasion of their privacy, must be taken as true, for the purposes of this appeal (R-2-5) (A-2-5).

A full categorical description of the protected zones of privacy is as of yet impossible, but the Supreme Court recognized in Roe v. Wade, that persons enjoy a fundamental right of decisional autonomy, absent compelling state interests in activities within the 'protected intimate relationships' of 'marriage ... procreation ... contraception... family ... and child rearing and education.' (Citations omitted) Harless, supra at 91.

The United States Supreme Court has recently advised that "the outer limits of this aspect of privacy have not been marked." Carey infra at 634. The tenant-applicant files contain information about "protected intimate relationships". Due to the dismissal of their Complaint, Appellants have never been given the opportunity to prove that these files illegitimacy, infidelity, abortion, mental can reveal: illness, mental disability, retardation, educational failure and a multitude of other intimate details. Unless this Court finds this information to be confidential, the general public will have complete access to every document in said They will have this access although they disclose no reason or purpose for seeing these documents. §119.07(1) Florida Statutes (1975). There is nothing in Chapter 119 Florida Statutes (the Public Records Act) that would prevent this information from being disseminated to the school-yard,

the credit application, the "town crier", the neighborhood "back fence", etc. The deleterious effect on a child and on his family may be irreparable if some personal information in his family's file is discussed in the school-yard or in the neighborhood.

B. Public Disclosure Of Appellants' Files Would Violate Their Right To Decisional And Disclosural Privacy.

It is from within the Bill of Rights that the concept of privacy has emerged to protect the fundamental integrity of "personhood". The First, Third, Fourth, Fifth,

Ninth and Fourteenth Amendments, on their own merits, and in

combination with the other amendments create a privacy model

affording this inchoate freedom and right to all persons.

This penumbral approach allows the privacy protections to

expand according to the needs and dictates of our own society.

It has been mostly in the last thirty years, and especially within the past 15 years, that the right of privacy has evolved into more meaningful focus. Such concern may be due, in part, to the geometric growth of technology and cybernetics encroaching ever more rapidly upon the individual

citizen. Both the government and the private sector are able to conjure up, almost magically, the most intimate and potentially devastating details of a person's life at the push of a button. The potential for such abuse not only limits the right to be let alone, but if left unchecked, heralds the downfall of individual privacy.

The Courts, recognizing the danger to individual privacy that our modern society presents, have taken definite steps to reaffirm and further develop the constitutionally protected right of privacy fundamental to this democratic society. The First and Fourteenth Amendments were held by the United States Supreme Court to protect the privacy of an individual to read in his own home, material that outside of his home, would be illegal. Stanley v. Georgia, 394 U.S. 557 (1969) (hereinafter referred to as Stanley). The First Amendment right of association has been held to have a penumbra where privacy is protected from governmental intrusion. Griswold v. Connecticut, 381 U.S. 479 (1965) (hereinafter referred to as Griswold). One of the roots of the right to personal privacy is the Fourth Amendment, Roe v. Wade, 410 U.S. 113 (1973) (hereinafter referred to as Roe).

The Supreme Court found protection in the Fourth

Amendment from governmental eavesdropping, even for a conversation made from a public telephone booth.

The Fourth Amendment protects people, not places. What a person normally exposes to the public even in his home or office is not a subject of the Fourth Amendment protection ... but what he seeks to preserve as private even in an area accessible to the public may be constitutionally protected. Katz v. U.S., 389 U.S. 347, 351 (1969) (hereinafter referred to as Katz).

Katz specifically acknowledges the fact that privacy is also a right to be recognized and protected by the individual states.

The Fifth Amendment respects the innermost feelings and thoughts of an individual, and protects the compulsory production of an individual's papers. Bellis v. U.S., 417 U.S. 85 (1974). The United States Supreme Court has also based the right to privacy on the Ninth Amendment, which provides that the enumeration in the Constitution of certain rights shall not be construed to deny or disparage others retained by the people. Griswold, supra.

Judge Smith's opinion for the court in <u>Harless</u>

found privacy rights to be conceptually derived from several

clauses of the Bill of Rights, particularly as "one aspect of
the liberty 'protected' by the Due Process Clause of the

Fourteenth Amendment." (Citations omitted) Id. at 91.

The right to privacy has been divided into two spheres. The first line of privacy cases recognized the right to decisional privacy. This right has been used to protect a person's right to decisions regarding his or her own body; contraceptive use and information; the right to live with other family members; and the right to choose one's reading material in one's own home. See <u>Griswold</u>, <u>supra</u>; <u>Eisenstadt v. Baird</u>, 405 U.S. 453 (1972); <u>Roe</u>, <u>supra</u>; <u>Carey v. Population Services International</u>, 431 U.S. 678 (1977); <u>Moore v. City of East Cleveland</u>, 431 U.S. 494 (1977); <u>Stanley</u>, <u>supra</u>.

The second line of cases can be identified as protecting disclosural privacy - the right to control information about oneself. Disclosural privacy is intricately linked to decisional privacy. Both aspects of privacy are involved in the case at bar.

...Yet, though decisional autonomy and disclosural privacy are distinct enough in concept, they unquestionably are related, and the decisional autonomy cases enlighten this one. Those cases teach that, in constitutional analysis, the forms and occasions of intimate association are not to be elevated over the inviolate intimacies of the person. elevate relationships at the expense of personhood would create new pretexts to replace the old one of property, which was discarded at some trouble. Intimate relationships are not protected because those relationships are constitutional norms of life, but because they involve 'matters so fundamentally affecting a person' that government intrusion tends to debase personhood. Intimate relationships are not themselves the core of the right of privacy, either in its aspect of decisional autonomy or that of disclosural privacy. At the core is the inviolability of personhood. Harless, supra at 91-92.

Appellants' "interest in avoiding disclosure of personal matters" Whalen v. Roe, 429 U.S. 589, 599 (1977), is invaded by the Public Records Act. Appellants' right to decisional privacy, "the independence in making certain kinds of important decisions" <u>Id</u>. at 599, 600, is also invaded by this statute. Information in the file about

psychiatric or certain medical treatment may result in a tenant's refusal to seek further treatment, even when the need for such treatment is medically indicated. A tenant's fear that information in her file will reveal an abortion might prevent that tenant from exercising her right to have an abortion. Information in the file concerning the expenditure of time or money on organizations would violate the associational privacy protection of the First Amendment to the United States Constitution; and where disclosure of this information would inhibit one's participation in these organizations, then the tenants' right to decisional-autonomal privacy would also be violated.

The tenant application requires the name of one's physician. An applicant with the problem of impotency might hesitate to seek treatment from a specialist on sex problems for fear that the physician's name and attendant specialty would be known to the public. The result of the decision not to seek treatment might be that the sexual problem would remain and the marital relationship would continue to suffer.

The question on "church" on the application might chill a tenant's participation in an unpopular religion.

Information contained in a file might cause embarrassment to a previous tenant-applicant who had left public housing and was attempting to begin a new life. All information contained in the files has both disclosural and decisional privacy implications.

C. [A] Fundamental Aspect Of Personhood's Integrity Is The Power To Control What We Shall Reveal About Our Intimate Selves, To Whom And For What Purpose. Harless at 92.

Certain information in the tenant-applicant files, if revealed, will violate fundamental rights to privacy, both disclosural and decisional, as guaranteed by the Federal and Florida Constitutions. Roe; Harless. Other information contained in said files, e.g. financial

... might itself involve the kind of crucial decision-making protected by the Constitution.

Alternatively, financial disclosure might have such a strong impact on making familial decisions which are clearly within the privacy right, that it must be prohibited to protect those choices. Plante v. Gonzalez, 575 F. 2d 1119, 1130 (5th Cir. 1978) (hereinafter referred to as Plante).

Even if, after evaluation and analysis, said information does not come within the decisional branch of the right to privacy, financial and other information certainly is within the disclosural branch of the right to privacy, and thus must be balanced against the state's interest in its disclosure.

Plante at 1132 - 1135.

Finally, the revelation of even the names of persons who either live or who have applied to live in public housing, could cause those persons, and their families great embarrassment.

For even the facially least sensitive information recorded which seemingly does no more than identify the prospects, receives greater import from the context: these persons were not identified for a statistical abstract or for other purposes insignificant to their privacy interests; they were identified ... [As being public housing tenants or applicants]. Harless, supra at 96.

The damage that might result from the public disclosure of the identities of the tenant-applicants must be analyzed in light of both constitutional and common law

requirements of privacy.

Privacy of personal matters is an interest in and of itself, protected constitutionally, as discussed above, and at common law. Plante at 1135.

Appellants have applied for public housing because it is the only source of safe, decent low income housing available to them. (R-4)(A-4). The choice between safe and decent housing, or housing that is not safe and not decent is no choice at all. The economic circumstances of the appellants have forced them into public housing. The information given by Appellants was requested from them solely because of their need to apply for public housing. This detailed information is not requested from someone seeking to rent in the private The information they were forced to supply reveals personal and confidential information about themselves which causes them humiliation and embarrassment when released to the general public. (R-4, 5)(A-4,5). It is unthinkable to believe that a person, merely because of his poverty, gives up any expectation of personal privacy. Nixon, infra (even as public a personage as an ex-president is entitled to personal privacy).

When the Federal Government creates, finances and retains control over a housing project in which the tenants have a substantial financial or property interest, the constitutional rights of due process and privacy obtain.

Pennypack Woods Home Ownership

Assn. v. Dahlberg, 4429 Jan.
term, 1974 (C.P. Phila. County
May 31, 1978); 47 L.W. 2058
(July 1978) (hereinafter referred to as Pennypack Woods.)

D. Appellants Expected And Had A
Right To Expect That The Information They Provided Would
Not Be Disclosed To The General
Public.

U.S. 425 (1977) it was held that where former President Nixon had 'legitimate expectations of privacy', his presidential papers and tapes concerning his 'personal life unrelated to acts done in his public capacity 'were constitutionally protected from release to the general public. Id. at 458-459.

The Court apparently used two criteria to invoke the privacy doctrine. First, the Claimant must exhibit an actual or subjective expectation that the information would not be disclosed; and second, that his expectation was one that society recognizes as legitimate or reasonable.

Appellants considered the information they gave to be confidential (R-3)(A-3), and they fully expected that the information they revealed would be protected as confidential by Appellees (A-3)(R-3). In light of the confidential and personal nature of the information, it was certainly reasonable that Appellants believed and desired that the confidentiality of such information be preserved. This was reasonable by any standard, for at least four reasons. First, "much of the information recorded was highly personal and sensitive [R-4], and public revelation of it would be offensive and objectionable to a reasonable man of ordinary sensibilities. " Harless at 96. Second, even Appellee Housing Authority considered this information to be confidential and protected it from general public rummaging until July 19, 1977 (R-45). Third, Appellants did not somehow shed their cloak of personal privacy merely by donning the mantle of public housing tenants. Pennypack Woods, supra. Fourth, certain information contained in Appellants' files concerning their family relationships, intimate medical information, marital relationships, child rearing and education are specifically recognized as being

within the zone of privacy "implicit in the concept of ordered liberty." Roe, supra, at 152-153.

Thus Appellants meet the two-pronged Nixon test.

Appellants exhibited an actual expectation of privacy; and that expectation was reasonable. The fact that the appellants, to secure public housing, disclosed this information to a governmental agency does not somehow waive their privacy from the general public. As the Court stated in Harless:

Yet, the prospects' privacy interests are not thereby obliterated, for 'privacy is not just an absence of information abroad about ourselves; it is a feeling of security in control over that information.' That was the dispositive theme of both Katz and Sunbeam, which held that an individual's selective disclosure of information to another, who might repeat it, does not of itself imply consent that the conversation be 'broadcast to the world' or 'transmitted by photograph or recorded in full living color and hi-fi to the public at large.' Id. at 95.

The Court in Harless went on to hold:

The prospects' expectation of disclosural privacy was also reasonable by objective

standards. Much of the information recorded was highly
personal and sensitive, and
public revelation of it would
be offensive and objectionable
to a reasonable man of ordinary
sensibilities. Id. at 95.

POINT II

APPELLANTS CAN NOT BE DENIED THEIR CONSTITUTIONALLY GUARANTEED RIGHT TO PRIVACY MERELY BECAUSE THEY HAVE EXERCISED THEIR FEDERALLY GUARANTEED RIGHT TO PUBLIC HOUSING.

Forcing Appellants to make their most intimate and personal information available for public perusal, solely because they have exercised their federally guaranteed right, after meeting eligibility criteria, to public housing 42 U.S.C. 1401 et seq., denies them due process of law as guaranteed by the 14th Amendment to the United States Constitution. Situations in which persons are faced with exercising competing rights are not unique. In Garrity v. New Jersey, 385 U.S. 493 (1967), it was held that forcing a police officer to choose between self-incrimination and job forfeiture was a form of compulsion prohibited by the 5th and 14th Amendments. Another balancing of rights was

considered in <u>Wyman v. James</u>, 400 U.S. 309. Here, James' right to public welfare benefits, were weighed against her right to be secured against unreasonable searches and seizures. In analyzing whether home visits required by New York Social Welfare laws were reasonable, the Court stated:

The means employed by the New York agency are significant. Mrs. James received written notice several days in advance of the intended home visit. The date was specified. Section 134(a) of the New York Social Welfare Law, effective April 1, 1967, ..., sets the tone. Privacy is emphasized. The applicant-recipient is made primary source of information as to eligibility. Outside informational sources, other than public records, are to be consulted only with the beneficiary's consent. Id at 320, 321.

Hence, in prior cases of competing constitutional rights and correlative protections, the U.S. Supreme Court has held that a state's interests in regulating people's rights to benefits, whether employment or public assistance, must be subordinated to the individual's rights guaranteed by the Bill of Rights. A law or regulation which requires that the exercise of one's right be entirely abandoned before the other may be exercised violates the Due Process Clause of

the 14th Amendment to the Constitution of the United States.

All the rights of an individual must be fully honored.

Pennypack Woods, supra.

POINT III

THE FLORIDA CONSTITUTIONAL GUARANTEES OF PRIVACY PROTECT PUBLIC HOUSING TENANT-APPLICANTS FROM GENERAL PUBLIC RUMMAGING PURSUANT TO THE PUBLIC RECORDS ACT.

The Florida Constitution and its interpreted case law recognizes decisional-autonomous and disclosural privacy. The landmark case in Florida on privacy is the "remarkably prescient, Cason v. Baskin, 20 So. 2d 243 (Fla. 1945) which traces the right of privacy to the life and liberty protections of the [Florida] Declaration of Rights." Harless at 93. In English v. McCrary, 348 So. 2d 293 (Fla. 1977), this Court recognized that it is within the discretion of a trial judge to close to the public what would otherwise be a matter of public record.

The right of a person to have his name removed from an election ballot was recognized as an aspect of privacy.

Battaglia v. Adams, 164 So. 2d 195 (Fla. 1964).

In Markham v. Markham, 265 So. 2d 59 (Fla. 1st D.C.A. 1972), affirmed, 272 So. 2d 813 (Fla. 1973), this Court affirmed the suppression of wiretap evidence made by the husband of his wife's private phone conversations in a dissolution of marriage proceeding. The wife's privacy claim was upheld and recognized, based upon her fundamental right, as an individual, to privacy.

The very recent <u>Harless</u> decision is the most comprehensive analysis of the right to disclosural privacy ever published in Florida. Judge Smith's "exhaustive and well reasoned opinion" ¹questions whether the application to head a municipal utility, and notes regarding an applicant, are constitutionally protected from the Public Records Act; and answers the question affirmatively. In <u>Harless</u>, the applicants for the directorship of the Jacksonville Electric Utility, a public agency, were interviewed by a consulting firm. The consulting firm made certain evaluations and notes on the individual applicants. The Court held after a challenge to make these evaluations and notes open to public view pursuant to

Harless at 99 (Boyer, Acting C.J., concurring opinion)

Chapter 119, Florida Statutes, that the applicant had a constitutional right to privacy in a disclosural sense.

The Court defined the issue as:

... whether as a matter of constitutional law, the prospects are protected against general disclosure, for the edification of the public, of public records so constituted. Id. at 90.

In arriving at its decision based upon both the Florida and Federal Constitutions, Judge Smith, for the Court, discussed the constitutional right to privacy in Florida:

The Florida Supreme Court's decision in Shevin v. Sunbeam Television Corp., 351 So. 2d 723 (Fla. 1977), was concerned with a statutory, not a constitutional, claim of privacy. Yet that decision similarly traces the right of disclosural privacy to its source in the essential integrity of personhood. The Court upheld, against a First Amendment challenge, a Florida statute which requires consent of all parties to private interception of their communication. ... 'A different rule could have a most pernicious affect upon the dignity of man.' (Cited therein). These words are consonant with the constitutional decisions of the United States Supreme Court, particularly Katz and with

Markham's analysis of Article 1, Section 12 of the Florida Constitution. Thus the Florida Constitution expresses the theme that disclosural privacy - the personal right of some control over the broadcast of intimate information concerning the selfis an aspect of personhood which is to be protected, as are others, as fundamental. Harless at 94.

In balancing the fundamental privacy interests of the applicants against the public interest in the information sought, the Court held:

Florida has no compelling or overriding interest in exposing, for the edification of the pubblic, the information in the consultants' papers. Harless at 97.

The Appellants' right to privacy is stronger than the right of the applicants in <u>Harless</u>, in that those applicants were voluntarily seeking a position of power which would affect the lives of the citizenry. The Court in <u>Harless</u> held that the names, and any identifying characteristics, of the applicants were constitutionally protected from public disclosure. Appellants are in a much more vulnerable position. It is not only their names, but also the intimate, personal

and confidential information in their files that is exposed to public viewing. The difference in the information submitted by the applicants herein, and by the Harless applicants, is great. The Harless applicants in their job application and interview attempted to portray themselves in the most favorable possible light. They, not their families, were seeking the job, and what they revealed was limited, by the relevancy to the job, and their own sense of familial privacy. Appellants, on the other hand, apply for themselves and their families, and are therefore forced to reveal intimate and confidential details about their family situation. While the applicants in Harless attempted to portray themselves favorably, as having achieved some degree of success, what is written on the tenant applications, in many cases, is the story of failure, both familial and financial. General public curiosity should not be sufficient cause to indiscriminately pry into these applicants' lives. It is certainly true that the applicants in Harless had the expectation of confidentiality, and the right to have that expectation respected pursuant to the Federal and Florida Constitutions. The rights of Appellants for the reasons set forth herein, are worthy

of the same respect.

POINT IV

THERE IS NO COMPELLING STATE INTEREST IN PUBLIC RUMMAGING OF TENANT-APPLICANT FILES.

Even the right to privacy must be sublimated to the state interest in certain circumstances:

A state interest which is 'compelling' must be held to override even privacy interests of constitutional dimensions, if that public interest cannot be fulfilled by less drastic or intrusive means. The analytical tasks of identifying and balancing 'fundamental' personal interests, 'compelling' public interests and less restrictive alternatives, are, for all their difficulty, firmly rooted in the United States Supreme Court decisions, including the privacy decisions, in Griswold, Eisenstadt, Roe v. Wade, Whalen, Carey and Nixon. Moreover that kind of an analysis is suggested in Florida Supreme Court decisions respecting the constitutional (federal and state) right to privacy. Harless at 96-97.

Applying this analysis, the United States Supreme Court in Whalen v. Roe, 429 U.S. 589 (1977) (hereinafter referred to as Whalen), held that a New York statute requiring

physicians to submit copies of prescriptions for certain dangerous drugs met constitutional muster after determining that there was a compelling state interest involved in preventing abuse of these drugs, and that the statute was specifically designed to prevent these abuses. The statute, however, was narrowly drawn, and the information imparted was not to the general public, as in the instant case, but to police agencies and included severe penalties for unauthorized disclosure. The Court found that there were numerous safeguards intended to forestall the danger of indiscriminate disclosure; and recognized that "the right to collect and use personal data for public purposes is typically accompanied by a concomitant duty to avoid unwarranted disclosures." Id. at 605. The government's duty to protect information in its possession "which is personal in character and potentially embarrassing or harmful if disclosed" is a duty that "arguably has its roots in the Constitution... "Whalen at 605. It is also important to note that in Whalen the disclosure was limited to one specific area of information, certain scheduled dangerous drugs, and did not release personal, financial and other medical data as in the case at bar.

Another recent case where this analysis was used to subrogate a claim of privacy was <u>Plante v. Gonzalez</u>, 575 F. 2d. 1119 (5th Cir. 1978). This was a challenge by several Florida State Senators to the Sunshine Amendment to the State Constitution, imposing financial disclosure requirements upon elective state and county officials.

The Senators argued that the public disclosure of their personal financial affairs violated their federally protected right to privacy. "...derived from the shadows of the Bill of Rights and made applicable to Florida through the 14th Amendment." Id. at 1123. The Senators, as do Appellants, claimed that both the decisional and disclosural strands of their privacy were violated by the state's action. The Fifth Circuit then analyzed both privacy claims. The Court held that the Senators'decisional privacy claim was not within the scope of the rights involved. The Court, however, found that the disclosural claim of the Senators fell directly within their right to privacy. Id. at 1132. The Court then used a balancing standard, stating that "something more than mere rationality must be demonstrated. Otherwise, public disclosure requirements such as Florida's could be extended to anyone, in

any situation." Plante, supra, at 1134.

Judge Wisdom identified four important state concerns that the district court found to be significantly advanced by the Sunshine Amendment, to wit:

The public's 'right to know' an official's interests; deterrence of corruption and conflicting interests; creation of public confidence in Florida's officials; and assistance in detecting and prosecuting officials who have violated the law. Plante at 1134.

These concerns were then analyzed to determine whether they were significantly promoted by the Sunshine Amendment. Id. at 1134. The Court found the "public's right to know" was promoted by the Amendment in that the voters would be "better able to judge their elective officials and candidates for those positions... It is relevant to the voters to know what financial interest the candidates have." Id. at 1135. They further found that the existence of the reporting requirement would discourage corruption. "The interest in an honest administration is so strong that even small advances are important." Id. at 1135. It was determined that disclosure should help to create public confidence in Florida's government.

This case differs from the case at bar for many reasons. First, the only information which the Senators in Plante were required to reveal was financial. Appellants herein, in their tenant files, in addition to financial information, have been forced to reveal information involving matters relating to marriage, procreation, family relationships, child rearing and education.

Roe, supra.

Second, the Court in <u>Plante</u> found that even public disclosure of private financial matters raised constitutional issues which must be balanced against the public interest. In balancing the interests, it held that "even in financial matters, public officials usually have less privacy than their private counterparts." <u>Id</u>. at 1136. Appellants are their private counterparts, and are consequently entitled to more privacy than public officials.

Third, the Court did hold that, "financial privacy is a matter of serious concern, deserving strong protection. The public interests supporting public disclosure for these elective officials are even stronger." <u>Id</u>. at 1136. Concomitantly, the public interest supporting public disclosure for non-elective officials is even weaker.

The Senators in <u>Plante</u> ran for office voluntarily. Public office is a public trust and our public officials will ultimately affect the lives of the general citizenry. The general populace has a direct and legitimate interest in acquiring an intimate knowledge of those who desire to lead us and who seek power over us. Thus, there was an overriding state interest in <u>Plante</u>. Appellants, on the other hand, have no desire to so greatly affect our lives. Decent and safe housing at a price they can afford is all they sought.

Through the public disclosure statute, the public maintains a way of monitoring its politicians. A very definite relationship exists to uphold the overriding state interest between the sources of income for an elective official and the matters that he votes upon.

The need for honesty in public housing tenants is certainly important. However, because of the lesser impact on the lives of the general public, the need to monitor the tenants is consequently less and therefore a less intrusive statutory scheme directed at the specific need is constitutionally mandated.

In looking at the test to be applied, it becomes apparent that there is no state interest to be served in the general public rummaging of tenant-applicant files, even if the public were restricted to the financial information in those files. Any state investigative agency has subpoena powers to see any records it desires. The records of the Public Housing Authority, except for tenant-applicant files, are open to public inspection. A complaint against a specific tenant can be investigated by the appropriate state agency. While the Public Records Act enables the general populace to monitor the actions of its public agencies, it cannot be used to place public housing tenants in a goldfish bowl, forced to live their lives open to inspection in the minutest detail, upon the whim or caprice of the citizenry. If there is a compelling state interest in the public rummaging of tenantapplicant files, the legislature must clearly state what the interest is in order to defeat the privacy rights of the tenantapplicants. "In appropriate cases presented under Section 119.11 the Courts of first instance may and should order such selective disclosure to accomodate both the public and private

interests involved. <u>Harless</u>, at 98. General interest in monitoring public agencies cannot suffice to invade appellants' "personhood."

When fundamental privacy interests secured by the due process clauses of the U.S. and Florida Constitutions are implicated, however, it is not enough that the statute generally serves a compelling interest in the disclosure of public records. must be a compelling state interest in the public revelation of the particular information in which the prospects would otherwise enjoy To override constitutional privacy. privacy interests, a countervailing state interest must exist and be compelling at the point where those interests collide. When the public interest is not sufficiently compelling to override constitutional privacy interests in the particular information sought, an intrusive statute 'must be narrowly drawn to express only the legitimate state interest at stake', (Roe v. Wade, 410 U.S. 113, 155) or its general terms must be appropriately narrowed in judicial application. (Citations omitted) Harless at 97.

Section 119.01 et seq. Florida Statutes (1975) is not directed at public housing tenant-applicants. The statute is directed at all public records, unless provided by law to be confidential. Appellants have asserted that their records

concern their "family status and relationship, income and medical history." They have asserted that the records are of a personal and confidential nature and that their release would cause them humiliation, embarrassment and needless invasion of their privacy. (A-2-5)(R-2-5). Under these circumstances, the privacy of the records rises to a fundamental constitutional dimension, Roe, Harless, and to override Appellants' fundamental constitutional rights, the state must show a compelling interest in the public revelation of the specific information sought in the tenant applicant files. This has never been done.

Once the legislature demonstrates the overriding state interest in the general public rummaging of Appellants' files, it must then demonstrate that the statute is as narrowly drawn as possible to "express only the legitimate interest at stake." Roe at 155; Carey at 688. "A statutory exception to the constitutional (federal and state) right to privacy ... must be strictly construed and narrowly limited..." In Re

Grand Jury Investigation, 287 So. 2d 43, 47 (Fla. 1973).

As the statute is now interpreted, Appellants by reason only of their poverty, have the most intimate details of their lives, and the lives of their families, subject to the

prying eyes of curiosity seekers, creditors, sales solicitors and a whole gamut of other offensive intruders. Once the information is dispersed, there is no limit to its further dispersal. The potential for humiliation and harm to Appellants, and their families, is great. The state's interest in the general public rummaging of public housing tenant-applicant files is insignificant, and the invasion of Appellants' right to privacy is too enormous, too broad and too overreaching, to be sustained.

POINT V

PUBLIC POLICY MANDATES THAT PUBLIC HOUSING TENANT-APPLICANT FILES BE EXEMPT FROM GENERAL PUBLIC RUMMAGING PURSUANT TO THE FLORIDA PUBLIC RECORDS ACT.

In the landmark case of <u>Cason v. Baskin</u>, 155 Fla.

198, 20 So. 2d 243 (1945), second appeal, 159 Fla. 31, 30

So. 2d 635 (1947), the Florida Supreme Court recognized the common law right of privacy as a distinct right in and of itself, the invasion of which will be redressed by the courts. The right of privacy is defined as "the right to be let alone,

the right to live in a community without being held up to the public gaze if you don't want to be held up to the public gaze." Id. at 248. The Court determined that "the time had come for a recognition of this right of privacy as an independent right of the individual." Id. at 248.

Two conflicting interests are presented in this challenge to the Florida Public Records Act, §119.01 et seq., Florida Statutes (1975), by Appellants, tenants in public housing administered by Appellee, Miami Beach Housing Authority. On the one hand is Appellants' right of privacy, the right to be let alone and to live their lives free from unwarranted intrusion in their personal lives. Appellants, in order to obtain public housing, were required to reveal personal and confidential information concerning themselves and their families to Appellees. Appellee, pursuant to the Public Records Act, has begun releasing this personal and confidential information which it maintains on all public housing tenants and applicants to the public upon request, violating their right of privacy, their right to be let alone.

On the other hand, is the general state policy "that all state, county and municipal records shall at all times be

open for a personal inspection by any person", found in §119.01 of the Public Records Act. This Act is directed to the laudable objective of assuring that the people of Florida have the means of knowing what their government is doing.

Wisher v. News Press Publishing Co., 310 So. 2d 345 (Fla. 2d DCA 1975), quashed, as modified in New Press Publishing Co. v. Wisher, 345 So. 2d 646 (Fla. 1977) (hereinafter referred to as Wisher).

The conflict over these competing interests has been before the courts of this state in the past. In Lee v. Beach Publishing Company, 127 Fla. 600, 173 So. 440 (1937), this court recognized that countervailing public policy considerations should be assessed prior to the disclosure of information pursuant to public inquiry. Specifically, the court said, citing 23 R.C.L. 161:

The right of inspection does not extend to all public records or documents, for public policy demands that some of them, although of a public nature, must be kept secret and free from common inspection... Lee, supra, at 442.

Indeed, concerns of public policy have continued to hold a special place in the common law of Florida insofar as

access to public records containing personal information has been concerned. In <u>Patterson v. Tribune Company</u>, 146 So. 2d 623 (Fla. 2d DCA 1962), the Plaintiff:

"Sued to recover damages for alleged invasion of her right of privacy through publication of progress docket entries reflecting judicial commitment of the Plaintiff as a narcotic. (sic) Id. at 624.

The Defendant newspaper did not have access to the court file or any instruments filed in the cause. The operative statute at that time prohibited opening for inspection only those records filed in the proceeding. There was no restriction on what was written on the Progress Docket, which was published by Defendant newspaper. The newspaper argued that the Progress Docket was a record open to public inspection pursuant to the then-operational Florida Statutes, §§28.19 and 119.01. In finding that Plaintiff had a cause of action for an invasion of her right to privacy, in spite of the public nature of the Progress Docket record, the court held:

Generally public records are subject to the right of inspection and publication; but this right does not apply to all public records since public policy requires that some of them, although of a public nature, be kept secret and free from public inspection. Id. at 626.

In the <u>Wisher</u> case, the Fort Myers New Press obtained a Writ of Mandamus directing the county administrator to allow them to "inspect and examine the personnel files of the employees of Lee County." <u>Wisher</u> at 346. The Lee County Administrator took an appeal from the Circuit Court's issuance of said Writ. The Second District Court of Appeal in reversing the Circuit Court, recognized and held that:

While personnel records are not exempt from Chapter 119 by the specific language, we believe that public policy clearly dictates that they be deemed confidential. Wisher, supra at 349.

The Second District Court of Appeal, in rendering said holding, considered the exception in §119.07(2)(a) for such records that may be "deemed by law to be confidential." The Court stated "it has always been held that right of inspection does not extend to all public records or documents, because public policy requires that some of them be treated as confidential." Id. at 347. The District Court observed that:

The Public Records Act is directed to the laudable objective of assuring that the people have the means of knowing what their government is doing. Wisher, supra at 348.

However, the court limited this position by stating:

Yet, the right to know must occasionally be circumscribed when the potential damages far outweigh the possible benefits. In our opinion, to require public disclosure of the personnel files of governmental employees could result in irreparable harm to the public interest and would be against public policy. <u>Id</u>. at 348.

This Honorable Court modified the holding below by releasing only that information which was authored by a public body acting in an open meeting pursuant to §286.011, Florida Statutes (1975).

It is important to note that neither the District

Court nor this Honorable Court in <u>Wisher</u> allowed the unlimited access to the files originally sanctioned by the Circuit Court.

Because of the particular facts involved in the <u>Wisher</u> case, this Court did not decide the issue of whether non-statutory public policy considerations may restrict public access to governmental documents otherwise deemed "public records" under

the Public Records Act, even though the 1975 Amendment changing the language of §119.07(2)(a) Fla. Stat. (1975), was in effect at the time of this Court's decision.

It is clear from the above-cited cases that common law policies of non-disclosure were adopted by the courts to exempt certain records from the Public Records Act, to protect the confidentiality of certain records and to preserve the common law right of privacy of the individuals involved. However, in the recently decided case of <u>Veale v. City of Boca Raton</u>, 353 So. 2d 1194 (Fla. 4th DCA 1977), (hereinafter referred to as <u>Veale</u>), the 4th District Court held that the presently applicable statutory provisions of Ch. 119, Fla. Stat., neither recognize nor permit the judicial creation of exceptions to the Act, in light of the 1975 legislative amendment to §119.07(2)(a). That statute, as amended, now reads:

All public records which presently are provided by law to be confidential or which are prohibited from being inspected by the public, whether by general or special law, shall be exempt from the provisions of subsection (1). §119.07(2)(a), Fla. Stat., (1975).

Petitioners submit that the <u>Veale</u> decision², to the extent that its holding precludes common law public policy exemptions to the Public Records Act, is wrong and should be over-ruled by this Court.

First, there is no express language in the amended statute, \$119.07(2)(a), Fla. Stat. (1975) which specifically evidences an intention on the part of the Florida Legislature to exclude public policy exemptions from the Public Records Act. Without this clear legislative indication, a holding that the Legislature intended to nullify the common law right to privacy of persons such as Petitioners, solely for the reason that they live in public housing, and to preclude the courts from protecting such rights and interests by way of common law policies of non-disclosure, violates the well-established rule that the common law is not to be changed by doubtful implication.

²State ex rel Cummer v. Pace, lo8 Fla. 496, 159 So. 679 (1935), cited by the Court in <u>Veale</u>, <u>supra</u>, was not decided on the issues of common law public policy exemptions to the Public Records Act to protect confidentiality of records and rights of privacy and therefore is not relevant to the issues before this Court in the instant case.

No statute is to be construed as altering the common law farther than its words and circumstances import. State v. Egan, 287 So. 2d 1, 6 (Fla. 1973).

Furthermore, if it were obvious from the language of the statute that non-statutory exemptions were no longer available to exclude certain records from the Public Records Act, this Court in <u>Wisher</u>, <u>supra</u>, would have been under a duty to follow the later law in effect at time of its decision in 1977 and allow the Petitioner newspaper access to all the personnel files requested and granted to it by the lower Court.

[A]n appellate court, in reviewing a judgment on direct appeal, will dispose of the case according to the law prevailing at the time of the appellate disposition and not according to the law prevailing at the time of rendition of the judgment appealed. Florida East Coast Railway Co. v. Rouse, 194 So. 2d 260, 262 (Fla. 1967).

Yet, this Court, when it decided <u>Wisher</u>, did not grant the right of access to all the employee files as requested, although the amended statute, §119.07(2)(a) Fla. Stat. (1975), had been the prevailing law for over a year and a half prior to this Court's decision in <u>Wisher</u>.

Secondly, a holding that the Florida Legislature intended to abolish the common law right of privacy and the protection of this right by the courts, by precluding common law public policy exemptions to the Public Records Act, would violate this Court's holding in <u>Kluger v. White</u>, 281 So. 2d 1 (Fla. 1973):

[W] here a right of access to the Courts for redress for a particular injury has been provided by statutory law predating the adoption of the Declaration of Rights of the Constitution of the State of Florida, or where such right has become a part of the common law of the State pursuant to Fla. Stat. §2.-01, F.S., the Legislature is without power to abolish such a right without providing a reasonable alternative to protect the rights of the people of the State to redress for injuries. unless the Legislature can show an overpowering public necessity for the abolishment of such right, and no alternative method of meeting such public necessity can be shown. Kluger, supra at 4.

It is clear that a common law right of privacy, separate and distinct from any constitutional right of privacy, exists in Florida, the invasion of which constitutes a proper cause of action. Cason v. Baskin, supra; Jacova v. Southern Radio and Television Company, 83 So. 2d 34 (Fla. 1955).

While it is clear that §119.07(2)(a) Fla. Stat., cannot be applied to defeat constitutional rights of privacy, Harless v. State ex rel Schellenberg, 360 So. 2d 83 (Fla. 1st DCA 1978), there may be personal and confidential information contained in the files of tenants and applicants such as Petitioners which do not fall within certain areas or zones of privacy protected under the United States or Florida Constitutions. For example, the releasing of the names and addresses identifying persons as recipients of public housing assistance, or the identification of employers may or may not be a violation of the constitutional right of privacy, since the outer limits of privacy rights have yet to be determined.

Carey v. Population Services International, 431 U.S. 678

(1977). However, the publishing of names and addresses and the disclosure of incomes and assets, sources of income and employment history of tenants-applicants by Respondent Housing Authority violates the Petitioners' common law right of privacy, the right to be let alone and to live in their

Baskin, supra. But if this information can be obtained pursuant to the Public Records Act, Petitioners' common law right of privacy would be violated and their right to seek redress for this invasion of their privacy obliterated, thus denying them access to the courts of this state as guaranteed to them by the Florida Constitution, Art. I, §21.

It is equally clear that if the Florida Legislature intended to abolish the common law right of privacy, and the protection of this right by precluding common law public policy exemptions to the Public Records Act, the Legislature provided no reasonable alternative to protect rights to redress for an invasion of the right to privacy, nor has it shown an overpowering necessity for abolishing the right of privacy and right to redress, as required by the Kluger decision. The Legislature has shown no overpowering public necessity for the abolition of Petitioners' right to a cause of action for the invasion of their common law right to privacy. As outlined earlier, the purpose of the Public Records Act is to enable persons to know what the government is doing. However, the information contained in tenant-applicant files maintained

by Respondent reveals nothing concerning the functions and operation of the Housing Authority itself. Instead, the information contained in these files concerns only the personal and confidential family information of tenants-applicants. The only purpose served by revealing this information to any person requesting access to such information is to satisfy the curiosity of, and to permit, persons on mere whim or caprice to pry into these most intimate and personal secrets. Yet, tenants-applicants in public housing are not "public personages", nor is information on their lives and families of legitimate public or general interest, whereby they might have relinquished their right of privacy concerning this information. See Cason v. Baskin, supra at 251. To interpret §119.07(2)(a) Fla. Stat., (1975) as precluding common law public policy exemptions to protect this right of privacy, and thereby denying Petitioners their right of privacy merely on the basis that they live in public housing, would amount to an aberrant departure from recognized concepts and procedures long held fundamental in this state. The Legislature has shown no justification to merit this result.

It is a well-settled rule of the Supreme Court that courts will not pass upon the constitutionality of a statute if the case may be effectively disposed of on other grounds. Peters v. Brown, 55 So. 2d 334, 335 (Fla. 1951). The case at bar challenges the application of the Public Records Act to the files of tenants-applicants in public housing, as violating their constitutional right of privacy, and also violating their common law right of privacy and the protection of this right by means of common law public policy exemptions to the Act. Appellants submit that all constitutional privacy issues need not be reached, since the statute is susceptible to the interpretation that certain public records, such as tenantapplicant files, must be exempt from the disclosure requirements of the Act, based on public policy decisions protecting confidential information and the common law right of privacy. This case can be decided on this ground. See, State v. Bruno, 104 So. 2d 588 (Fla. 1958).

CONCLUSION

The Circuit Court erred in dismissing the Complaint without giving Appellants the opportunity to establish their class and prove the injuries alleged. The Public Records Act, as applied to Appellants, invades their protected intimate relationships while serving no compelling state interest. Public housing tenant—applicant files are provided by public policy and constitutional law to be exempt from the Public Records Act. If there is a compelling state interest to be served by the general public rummaging of said files, then the legislature must enact a narrowly drawn statute that serves the state's interests without unnecessarily intruding on Appellants' constitutional rights.

The Circuit Court's Order dismissing Appellants'
Complaint must therefore be reversed.

Respectfully submitted,

JOHN LAZARUS
LARRY BAKER
CHARLENE CARRES
Attorneys for Appellants
LEGAL SERVICES OF GREATER MIAMI, INC.
1393 S.W. 1st Street, Suite 330
Miami, Florida 33133
Telephone: (305) 579-5757

RV .

CERTIFICATE OF SERVICE

WE HEREBY CERTIFY that on this 12th day of September, 1978, a true and correct copy of the foregoing Initial Brief of Appellants was mailed to:

Hon. Robert Shevin
Attorney General
State of Florida
Department of Legal Affairs
Civil Division
725 South Calhoun Street
Tallahassee, Florida 32304

Joseph A. Wanick, Esq. City Attorney Attorney for Appellees 1700 Convention Center Drive Miami Beach, Florida 33139

JOHN LAZARUS
LARRY BAKER
CHARLENE CARRES
Attorneys for Appellants
LEGAL SERVICES OF GREATER MIAMI, INC.
1393 Southwest 1st Street, Suite 330
Miami, Florida 33135
Telephone: (305) 579-5757

BY: