

ON DISCRETIONARY REVIEW FROM THE FIFTH DIBTRICT COURT OF APPEAL

PETITIONER'S BRIEF ON JURISDICTION

JAMES B. GIBSON PUBLIC DEFENDER SEVENTH JUDICIAL CIRCUIT

PAOLO G. ANNINO ASSISTANT PUBLIC DEFENDER Florida Bar No. 0379166 112-A Orange Avenue Daytona Beach, FL 32114 Phone: 904-252-3367

COUNSEL FOR PETITIONER

TABLE OF CONTENTS

PAGE NO.

| TABLE OF CONTENTS | i |
|--|----|
| TABLE OF CITATIONS | ii |
| STATEMENT OF THE CASE AND FACTS | 1 |
| SUMMARY OF THE ARGUMENT | 3 |
| ARGUMENT | |
| THE DECISION OF THE FIFTH DISTRICT COURT OF APPEAL IN <u>MASSEY V. STATE</u> , CASE NO. 90-1043 (FLA. 5TH DCA OCTOBER 31, 1991), DIRECTLY CONFLICTS WITH <u>EDWARDS V. STATE</u> , 576 SO.2D 441 (FLA. 4TH DCA 1991); <u>GRUBBS</u> <u>V. STATE</u> , 412 SO.2D 27 (FLA. 2D DCA 1982); <u>IVEY V. STATE</u> , 500 SO.2D 730 (FLA. 2D DCA | |
| 1987); AND <u>JUDGE V. STATE</u> , 16 F.L.W. D2337 (FLA. 2D DCA SEPTEMBER 6, 1991). | 4 |
| CONCLUSION | 7 |
| CERTIFICATE OF SERVICE | 7 |

i

TABLE OF CITATIONS

| CASES CITED: | PAGE NO. |
|--|---------------|
| <u>Edwards v. State</u> 576 So.2d 441 (Fla. 4th DCA 1991) | 3-6 |
| <u>Grubbs v. State</u> 412 So.2d 27 (Fla. 2d DCA 1982) | 3-6 |
| <u>Ivey v. State</u> 500 So.2d 730 (Fla. 2d DCA 1987) | 3-5 |
| <u>Judae v. State</u> 16 F.L.W. D2337 (Fla. 2d DCA September 6, 1991) | 3-5 |
| <u>Massey v. State</u> Case No. 90-1043 (Fla. 5th DCA October 31, 1991) | 4 |
| <u>Nunziata v. State</u> 561 So.2d 1330 (Fla. 5th DCA 1990) | 6 |
| <u>Sweat v. State</u> 570 So.2d 1111 (Fla. 5th DCA 1990) | 6 |
| OTHER AUTHORITIES: | |
| Section 775.084(3)(b), Florida Statutes (1991) Section 810.02(3), Florida Statutes (1989) Section 812.014(2)(C), Florida Statutes (1989) | 3-5 1 1 |

STATEMENT OF THE CASE AND FACTS

On June 21, 1989, the State filed an Information charging Petitioner, Mr. James Massey, with Count I, Burglary of a Dwelling, in violation of Section 810.02(3), Florida Statutes (1989); and with Count 11, Grand Theft of the Third-Degree, in violation of Section 812.014(2)(c), Florida Statutes (1989). The State alleged that the above offenses occurred on October 31, 1988. (R496)

At the January 4, 1990 arraignment, Mr. Massey was appointed an Assistant Public Defender, and demanded speedy trial. (R6-7)

At day of trial, January 29, 1990, Mr. Kwilecki, Assistant Public Defender, moved to withdraw representation because he was not prepared for trial. (R7) The trial court granted the Assistant Public Defender's motion. (R16) The trial court stated: "If they are not ready to go to trial, then the only alternative, if you want a speedy trial, is for you to represent yourself." (R16) Mr. Massey reluctantly elected to represent himself. (R16)

During trial, where Mr. Massey represented himself pro se, the State filed a Notice of Intent to Sentence Defendant as a Habitual Felony Offender. At trial, the State never handed Mr. Massey a copy of the written Notice of Intent. (R87) The Certificate of Service of the Notice lists Mr. Kwilecki, Assistant Public Defender, who was not representing Mr. Massey during trial. (R506)

During trial, Mr. Massey moved to revoke his waiver of

counsel because he felt incompetent concerning technical evidentiary issues. (R231) The trial court denied his motion and held that a Sixth Amendment waiver is irrevocable during trial. (R232)

The jury found Mr. Massey guilty of both counts: Burglary and Grand Theft. (R467)

For sentencing, the trial court appointed counsel for Mr. Massey. (R472) At the sentencing hearing, Mr. Massey's defense counsel objected that she did not receive written Notice of Intent to Habitualize. (R473)

The trial court sentenced Mr. Massey as an Habitual Felony Offender. Mr. Massey was sentenced to fifteen years in the Department of Corrections for Count I, Burglary, and to five years in the Department of Corrections for Count 11, Grand Theft, which Count II is to run consecutive to Count I. (R494)

Mr. Massey filed timely Notice of Appeal, and the Office of the Public Defender was appointed to represent Mr. Massey on his appeal. (R571)

On May 2, 1991, the Fifth District Court of Appeal issued its written Decision vacating Mr. Massey's sentences because of lack of a written Habitual Felony Offender notice.

On October 31, 1991, on Motion for Rehearing En Banc, the Fifth District Court of Appeal withdrew its prior opinion and substituted the present Opinion which affirms Mr. Massey's convictions and sentences. (See Appendix A)

Mr. Massey filed a timely Notice to Invoke Discretionary Jurisdiction.

SUMMARY OF THE ARGUMENT

The majority Opinion in the instant case holds that record notice suffices to invoke the Habitual Felony Offender Statute. With all due respect, the majority Opinion is simply incorrect. Section 775.084 (3) (b), Florida Statutes (1991), mandates "written notice." The majority Opinion conflicts with Section 775.084(3)(b), and with Edwards, Grubbs, Ivey and Judge, which decisions hold that the failure to provide written Habitual Felony Offender notice produces an illegal sentence. In specific, the majority Opinion conflicts with Edwards, which holds that harmless error analysis does not apply to an illegal sentence.

ARGUMENT

THE DECISION OF THE FIFTH DISTRICT COURT OF APPEAL IN MASSEY V. STATE, CASE NO. 90-1043 (FIX. 5TH DCA OCTOBER 31, 1991), DIRECTLY CONFLICTS WITH EDWARDS V. STATE, 576 SO.2D 441 (FLA. 4TH DCA 1991); <u>GRUBBS</u> V. STATE, 412 SO.2D 27 (FIX. 2D DCA 1982); <u>IVEY V. STATE</u>, 500 SO.2D 730 (FLA. 2D DCA 1987); AND <u>JUDGE V. STATE</u>, 16 F.L.W. D2337 (FLA. 2D DCA SEPTEMBER 6, 1991).

This Honorable Court should accept jurisdiction, because the majority En Banc Opinion, a 5-4 Opinion, directly conflicts with Edwards V. State, 576 So.2d 441 (Fla. 4th DCA 1991); Grubbs V. State, 412 So.2d 27 (Fla. 2d DCA 1982); Judge v. State, 16 F.L.W. D2337 (Fla. 2d DCA September 6, 1991); and Ivev v. State, 500 So.2d 730 (Fla. 2d DCA 1987). The issue in the instant case is whether the harmless error rule applies to the "written notice requirement" of the Habitual Felony Offender Statute. The importance of this issue cannot be underestimated. Written notice is a precondition to the application of the Habitual Felony Offender Statute. See Section 775.084(3)(b), Florida Statutes (1991). Without satisfying this precondition, a defendant may not be sentenced as an Habitual Felony Offender. Id. The written notice takes the particular case out of the Sentencing Guidelines and into the Habitual Felony Offender Statute, which provides harsher penalties and allows greater disparity of sentencing. In short, the key that unlocks the door to the Habitual Felony offender Statute is written notice.

In the instant case, the majority Opinion holds that written notice is a mere technicality, and that record notice suffices to

open the door of the Habitual Felony Offender Statute. The majority Opinion conflicts with the clear language of the statute which specifically requires "written notice." Section 775.084(3)(b), Florida Statutes (1991), provides:

> Written notice shall be served on the defendant and his attorney a sufficient time prior to the entry of a plea or <u>prior to the impo-</u> <u>sition of sentence</u> so as to allow the preparation of a submission on behalf of the defendant. (Emphasis added).

Because of the harshness of the penalties authorized in Section 775.084, the Legislature has mandated written notice and not mere record notice.

The majority Opinion also conflicts with <u>Grubbs v. State</u>, 412 So.2d 27 (Fla. 2d DCA 1982); <u>Ivey v. State</u>, 500 So.2d 730 (Fla. 2d DCA 1987); and <u>Judge v. State</u>, 16 F.L.W. D2337 (Fla. 2d DCA September 6, 1991), which decisions hold that failure to provide written notice produces an illegal sentence. If the majority Opinion were correct that the written notice requirement is a mere technicality, then the defendants in <u>Grubbs</u>, <u>Ivev</u> and <u>Judse</u>, lacked the authority to appeal their sentences through post-conviction motions, because their respective sentences would not have been illegal.

In addition to conflicting with <u>Grubbs</u>, <u>Ivey</u> and <u>Judse</u>, the majority opinion conflicts with <u>Edwards v. State</u>, 576 So.2d 441 (Fla. 4th DCA 1991). The majority in the instant case held that harmless error analysis applies if a defendant failed to receive written notice, but received record notice. The <u>Edwards</u> court explicitly held that the harmless error test does <u>not</u> apply to

the failure to provide written notice, because such a ommission makes the sentence illegal. In <u>Edwards</u>, the Fourth District Court of Appeal stated:

If no advance written notice is provided, a sentence as an habitual offender is illegal. <u>Grubbs v. State</u>, 412 So.2d 27 (Fla. 2d DCA 1982). <u>See also Nunziata v. State</u>, 561 So.2d 1330 (Fla. 5th DCA 1990) (no advance written notice of state's intent to seek enhancement of sentence in accordance with statute, any subsequent habitual offender enhancement is illegal; lack of harm to defendant not the test).

The state's contention that appellant was not surprised by the classification is irrelevant because lack of harm to the defendant is not the test used. <u>Nunziata</u>, 561 So.2d at 1331; <u>see also Sweat V. State</u>, 570 So.2d 1111 (Fla. 5th DCA 1990) (failure to serve advance written notice of state's intent to seek enhanced sentencing constitutes reversible error; defendant need NOT demonstrate harm). (Emphasis in original). **U**. at 442.

As <u>Edwards</u> makes clear, harmless error analysis does not apply to illegal sentences.

In conclusion, the majority opinion in the instant case ignores the plain language of the notice provision and conflicts with <u>Edwards, Grubbs</u>, <u>Ivey</u> and <u>Judge</u>. The dissent in the instant Opinion correctly pointed out:

> To require the state to give this requisite notice does not place any undue burden upon it. If we are now to allow non-written notice to suffice, we are opening the door to a requirement of deciding on a case-by-case basis whether non-written notice is sufficient. If the legislature desires such a result, it should amend the statute and delete the word "written".

Hence, Mr. Massey requests this Honorable Court to accept jurisdiction.

CONCLUSION

BASED UPON the reasons expressed herein, Petitioner respectfully requests that this Honorable Court exercise its discretionary jurisdiction and review the decision of the Fifth District Court of Appeal herein.

Respectfully submitted,

JAMES B. GIBSON PUBLIC DEFENDER SEVENTH JUDICIAL CIRCUIT

PAOLO G. ANNINO ASSISTANT PUBLIC DEFENDER Florida Bar No. 0379166 112 Orange Avenue, Suite A Daytona Beach, Florida 32114 Phone: 904/252-3367

COUNSEL FOR PETITIONER

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that a true and correct copy of the foregoing has been served upon the Honorable Robert E. Butterworth, Attorney General, 210 N. Palmetto Avenue, Suite 447, Daytona Beach, Florida 32114, in his basket at the Fifth District Court of Appeal; and mailed to James B. Massey, Inmate No. C-084044, Okaloosa Corr. Inst., P.O. Box 578, Crestview, Florida

32536, on this 17th day of January, 1992.

PAOLO G. ANNINO ASSISTANT PUBLIC DEFENDER

IN THE SUPREME COURT OF FLORIDA

))

))))

)

)

)

JAMES B. MASSEY,

Petitioner,

vs.

SUPREME COURT CASE NO.

STATE OF FLORIDA,

Respondent.

APPENDIX

IN THE DISTRICT COURT OF APPEAL OF THE STATE'OF FLORIDA FIFTH DISTRICT JULY TERM 1991

NOT FINAL UNTIL THE TIME EXPIRES TO FILE REHEARING MOTION, AND, IF FILED, DISPOSED CF.

JAMES MASSEY,

Appellant,

۷.

CASE NO. 90-1043

STATE OF FLORIDA,

Appellee.

Opinion filed October 31, 1991

Appeal from **the** Circuit **Court** for Volusi**a** County, Gayle S. Graziano, Judge.

James B. Gibson, Public Defender, and Paolo G. Annino, Assistant Public Defender, Daytona **Beach**, for Appellant.

Robert A. Butterworth, Attorney General, Tallahassee, and Bonnie Jean Parrish, Assistant Attorney General, Daytona Beach, for Appel 1ee.

ON MOTION FOR REHEARING EN BANC

GRIFFIN, J.

We grant the state's *motion* for rehearing, withdraw our prior opinion and substitute the following opinion in its stead.

Appellant seeks reversal of his sentences **as** a habitual violent felony offender, contending that written notice of the state's intention to seek

RECEIVED

0CT 31 1991

PUBLIC DEFENDER'S OFFICE 7h CIR. APP. DIV. enhancement was not served upon him as required by section 775.084(3)(b), Florida Statutes.' For the reason set forth below, we affirm.

Appellant was initially represented below <u>counsel</u>; however, shortly before trial, counsel withdrew and, at trial, appellant represented himself. In open court, during trial, the state announced and filed its notice of intent to have appellant sentenced as a habitual offender. The prosecutor did remark at the moment of filing that she hadn't had an opportunity to copy the notice but, beyond that, the record does not reflect whether defendant was ever given a copy of the notice." The certificate of service on the notice indicates a copy was served on the public defender who had represented defendant but who had, by this time, withdrawn.

At the close of the trial, after appellant was found guilty as charged, : the trial judge announced in open court that a date for an adequate hearing would be necessary as the state had filed its notice of intent to habitualize. Most important, on May 7, 1990, one week before the sentencing hearing, appellant wrote the trial judge a letter reminding the court that:

On May 14, 1990 the defendant will come before 'the court for a hearing to be sentenced **as** an habitual offender. I would like to request this hearing be held in chambers. The *information* the defendant intends to offer the court for consideration is highly **personal.**

¹ Appellant has also appealed his convictions for burglary of a dwelling and grand theft but we find..no merit in the issues raised and affirm these convictions without further discussion.

² No objection was raised at the sentencing hearing concerning notice to appellant so this subject was not discussed at the sentencing hearing nor was evidence taken on this issue.

At the sentencing hearing, the public defender appointed to represent appellant in post trial proceedings objected only that <u>she</u> did not "have a copy of that notice in [her] file." If this was an objection that <u>she</u> had not been served a notice since her appointment on February 15, 1990 - two weeks after the **state** filed the notice of intent to sentence appellant as a habitual offender in open court during the trial - it was properly overruled because at the time the notice was filed, the appellant was *pro* se. The only error the state could have made was to fail to give the <u>appellant</u> a copy of the notice. However, at the sentencing hearing there was no objection to any lack of notice to the appellant.³

Section 775.084(3)(b), Florida Statutes (1989), provides:

Written notice shall be served on the defendant'and his attorney a sufficient time prior to the entry of a plea or'prior to the imposition of sentence <u>so</u> as to allow the preparation of a submission on behalf of the defendant. (emphasis added).

In Nunziata v. State, 561 So.2d 1330 (Fla. 5th DCA 1990) and Sweat v. State, 570 So.2d 1111 (Fla. 5th DCA 1990), we held that a defendant need not show harm in order to assert a lack of written notice as reversible error. However, the issue in this case is not whether Massey must show harm in order to assert the lack of notice as error, but rather whether the state - by

In response to **defense** counsel's objection that she did not have the notice, the trial court stated: "Both you and the office of the Public Defender and **Mr**: **Massey** were well aware of the fact that the state was going to seek habitual offender status." Defense counsel did not dispute the court's statement, merely responding: "I just wish to register my objection for the record, your Honor."

-3-

affirmatively proving no harm - can bring this technica error within the harmless error rule.⁴

While lack of any notice, written or otherwise, is a due process violation, lack of written notice, when actual notice is given, is not.⁵ The statutory requirement for written notice is to insure (and offer a method of proof) that <u>actual</u> notice was given. In *Rober's v. State*, 559 So.2d 289, 291 (Fla. 2d DCA), dismissed, 564 So.2d 488 (Fla. 1990), the court stated:

> While section 775.084(3) does, as defendant argues, state that such. notice shall be served "on the defendant and his attorney," [only the attorney was served in *Roberts*] that section gives the purpose of that requirement as being "so as to allow the preparation of a submission on behalf of the defendant" in response to the notice. In this case there was such a response prepared and made on behalf of the defendant, thus the **purpose** of the statute was fulfilled. We do not conclude that the legislature intended to permit a defendant to avoid the application of the statute on the technical grounds raised here. [Emphasis added.]

This decision was followed by *Rowe v. State*, 574 \$0.2d 1107, 1108 (Fla. 2d DCA 1990), *rev. denied*, 576 S0.2d 290 (Fla. 1991) in which the court held:

While appellant's attorney was served with the notice that the state sought to habitualize **appellant** is

⁵ We cannot agree with the dissent's effort to equate the procedural defect of oral notice given by the state in lieu of written notice and the failure of the court to issue written reasons for **departure** from the sentencing guidelines. The latter is plainly a substantive expression of judicial findings necessarily written in order to permit proper judicial review. Pope v. State, 561 \$0.2d 554, 555 (Fla. 1990).

⁴ The dissent insists that *Nunziata*, cited **above**, and Grubbs v) State, 412 So.2d 27 (Fla. 2d OCA 1982) require a finding that a habitual offender sentence without written notice is illegal. But these cases must be interpreted in light of their facts. In both *Nunziata* and *Grubbs* there is no indication that any notice was given. These cases did not consider the effect of proven actual notice. We are now asked to review this issue based on the new fact of actual notice. It is our function as judges to do this.

required by sect on 775.084(3)(b), that notice and the service thereon do not indicate that appellant was personally servel with such notice. Our independent examination of the record below, however, reveals that appellant received actual notice of the state's efforts to habitualize him, appeared at the hearing for that purpose with his attorney, and actively contested the state's efforts. We conclude the notice to appellant was sufficient to support his being habitualized.

In the present case, as in (Bradford) v. State, 567 So.2d 911 (Fla. 1st DCA 1990, rev. denied, 577 So.2d 1325 (F1a. 1991), the state's intention to seek habitual offender status was announced in open court at the trial and well in advance of the subsequent sentencing hearing. The Bradford court concluded that such record notice meets the requirement of the statute, especially where, as here, the record also demonstrates that the defendant knew and understood the content of the notice and was fully prepared to present his case against habitual offender treatment. *Id.* at 915. In this case, an unusually detailed presentation, including a dissection of the PSI, was made on appellant's behalf by both appellant and his attorney at the sentencing If it is true, as appellant contends, that the purpose of the hearing. writing requirement is to be sure a criminal defendant is notified that the state will seek to have him sentenced as a habitual offender, the purpose of the statute was amply met in this case. Failure to deliver the writing to the defendant under the circumstances present here is harmless error at worst.

A close reading of *Edwards v. State*, 576 So.2d 441 (Fla. 4th DCA 1991), relied upon by the dissent, suggests it is consistent with our opinion in this case. In *Edwards*, the defendant agreed at his plea hearing to a habitual offender sentence. Even though no written notice was filed beforehand, the *Edwards* court makes clear this habitual offender sentence was legal. The court stated:

-5-

On May 8, 1989, appellant negotiated a settlement in which he was to be sentenced to nine years as an habitual felony offender.

Appellant's status as an habitual offender was clearly discussed at the May 8 hearing; but the required written notice for sentencing as an habitual offender was not provided to him at that time. Thus, any sentencing over the nine years to which he agreed was not noticed as required by the habitual offender statute. (emphasis added.)

Since section 775.084(3)(b) does not expressly exempt its Id. at 441. application from negotiated plea cases, the *Edwards* court was not strictly applying the statute; it approved a habitual offender enhanced penalty based solely on the actual notice evidenced by the negotiated plea. The trial court's threat to sentence Edwards to 50 years if he did not appear for sentencing was a part of the discussion in which, the court permitted pre-detention release. There was no discussion about the habitual felony statute. When Edwards failed to appear because he confused the date of the hearing (he turned himself in one week later) he was sentenced to fifty years **under** the habitual offender statute. The appellate court refused to approve this' harsh result because Edwards had no notice - oral or written - that he would be sentenced as **a** habitual offender to more than nine years. The state's effort to cure this problem by serving written notice on the date of the sentence was ineffective. Unlike the present case, no reasonable argument can be made that what happened to Edwards at the June 21, 1990 hearing was the harmless result of a procedural error.

Neither Bradford, Roberts, Rowe⁶ nor this opinion ignores the legislative requirement of written notice, as the dissent suggests. We

-6-

.

⁶ Even the dissent concedes that the "clear legislative mandate" that written notice must be given to both the defendant <u>and</u> his attorney isn't really

recognize that the failure to give such notice is a technical violation of the statute's procedural scheme,⁷ but the legislature a so mandates that:

No judgment shall be set aside or reversed, by any court of the state for error as to any matter of .'procedure, unless in the opinion of the court the error complained of has resulted in a miscarriage of justice.

§ 59.041, Fla. Stat. (1989). Here there is no contention that the habitual felony sentence imposed on defendant was a miscarriage of justice due to any **lack** of notice, preparation or proof - the argument concerns only noncompliance with the statutory form of notice. Here the record clearly shows, beyond any reasonable doubt, this appellant was fully prepared at the sentencing hearing to offer a submission on habitual offender treatment

mandatory. Service on the attorney is sufficient because Rule 3.030 prevails over the statutory requirement. But that rule also provides that there need be no service of orders made in open court. Under the dissent's theory, once the court announced (ordered) in open court that it would schedule a date for an 'adequate hearing on the state's motion to habitualize appellant, the purpose of the statutory notice requirement was achieved.

⁷ Although the dissent insists the lack of written notice is not subject to harmless error analysis because the sentence was <u>illegal</u>, the term "illegal" as used in *Grubbs* and *Nunziata*, must mean there was a violation of **due** process. A sentence is not <u>illegal</u> simply because a statute was violated. See Johnson v. State, 557 So.2d 223 (Fla. 1st DCA), rev. denied, 563 So.2d 632 (Fla. 1990). It should be noted that it was the legislature - not the majority - that made the requirement that notice be written merely a procedural requirement. Section 775.084(3), Florida Statutes (1989) states:

> In a separate proceeding, the court shall determine if the defendant is a habitual felony offender The <u>procedure</u> shall be as follows:

> (b) Written notice shall be served on the defendant and his attorney a sufficient time prior to entry of a plea or prior to imposition of sentence so as to allow the preparation of a submission on behalf of the defendant. (emphasis added).

Nunziata and Grubbs stand on another plane entirely.

.

because he knew, a reasonable time before sentencing, that the state would seek to have the court sentence him as a habitual offender.

AFFIRMED.

::

' DAUKSCH, COBB, SHARP, W., and HARRIS, JJ., concur. DIAMANTIS, J., dissents with opinion in which GOSHORN, CJ., COWAR and PETERSON, JJ., concur.

-a-

90-1043

DIAMANTIS, J., dissenting.

I respectfully dissent.

Appellant alleges that his sentence as an habitual violent felony offender is improper because written notice of the state's intention to seek enhancement was not served upon him as required by law. See §775.084(3)(b), Fla.Stat. (Supp. 1988). Written notice was served on his former attorney three days after that 'attorney had withdrawn as defense counsel. The record clearly demonstrates that written notice was neither served upon appellant nor did he specifically waive written notice'. The state contends that because the requisite notice was filed of record and appellant had actual knowledge of such notice, the failure to provide appellant with written notice does not constitute reversible error in that the intent of the statute has been met, . or, in the alternative, if there was error in failing to give appellant written notice, such error was harmless. I would reject these contentions.

Section 775.084(3)(b) provides:

Written notice shall be served on the defendant and his attorney a sufficient time prior to the entry of a plea or prior to the imposition of sentence so as to allow the preparation of a submission onbehalf of the defendant. (Emphasis added).

If no advance written notice is served, a sentence as an habitual offender is illegal. <u>Edwards v. State</u>, 576 So.2d 441 (Fla. 4th DCA 1991); <u>Nunziata v. State</u>, 561 So.2d 1330 (Fla. 5th DCA 1990); <u>Grubbs v. State</u>, 412 So.2d 27 (Fla. 2d DCA 1982). If appellant's habitual offender sentence is illegal, I submit that it cannot be made legal by interpreting this clear statutory mandate as a merely "technical" requirement, a "matter of procedure" or "harmless error." In <u>Grubbs v. State</u>, 412 So.2d at 27, the court held that when the record reveals that no advance written notice was given the defendant, his sentence as an habitual offender was illegal and subject to correction by a motion for post-conviction relief under rule 3.850 of the Florida Rules of Criminal Procedure. ¹

Failure to provide advance written notice constitutes reversible error and a defendant is not required to demonstrate harm because lack of harm is not the test. Edwards, 576 So.2d at 442; Sweat v. State, 570 So.2d 1111 (Fla. 5th DCA 1990); Nunziata, 561 So.2d at 1331. The fact that a defendant is not surprised by his classification as an habitual offender is irrelevant. In Edwards, after the defendant entered his plea of guilty, the defendant was put on record notice in open court that if he failed to appear for sentencing he would receive a sentence of fifty years imprisonment as an habitual offender instead of the negotiated nine year habitual offender sentence. The defendant then failed to appear for his first sentencing date and as a result the trial court sentenced him as an habitual offender to a term of fifty years imprisonment. At his subsequent sentencing hearing the defendant was served with the requisite written notice of intent to habitualize. On appeal, the court in Edwards followed our rulings in Sweat and Nunziata, as well as following (Grubbs,) and held that the fact the defendant was not surprised by his classification as an habitual offender was irrelevant and that the written notice provided defendant was legally insufficient because defendant did not

-2-

If the requirement of advance written notice is merely a technical requirement or a procedural matter or an error that can be waived or rendered harmless, Grubbs would have been barred from raising the issue for the first time by a motion for post-conviction relief. If failure to give advance written notice is only a procedural due process violation, as the majority contends, Grubbs could not have raised this issue in his motion for post-conviction relief. See Ivey v. State, 500 So.2d 730 (Fla. 2d DCA 1987).

receive the required advance written notice. The majority attempts to paint a judicial gloss over <u>Edwards</u> by stating, "the <u>Edwards</u> court was not strictly .applying the statute." However, the specific language of <u>Edwards</u> clearly contradicts the majority's statement:

If no advance written notice is provided, a sentence as an habitual offender is illegal. Grubbs b. State, 412 So.2d 27 (Fla. 2d DCA 1982). See also Nunziata v. State, 561 So.2d 1330 (Fla. 5th DCA 1990) (no advance written notice of state's intent to seek enhancement of sentence in accordance with statute, any subsequent habitual offender enhancement is illegal; lack of harm to defendant not the test).

The state's contention that appellant was not surprised by the classification is irrelevant because lack of harm to the defendant is not the test used. *Nunziata*, 561 So.2d at 1331; see also *Sweat v. State*, 570 So.2d 1111 (Fla. 5th DCA 1990) (failure to serve advance written notice of state's intent to seek- enhanced sentencing constitutes reversible error; defendant need NOT demonstrate harm). (Emphasis in original).

576 So.2d at 442

Moreover, <u>Roberts v. State</u>, 559 So.2d 289 (Fla. 2d DCA), <u>cause</u> <u>dismissed</u>, 564 So.2d 488 (Fla. 1990), 'and <u>Rowe v. State</u>, 574 So.2d 1107 (Fla. 2d DCA 1990), <u>rev. denied</u>, 576 So.2d 290 (Fla. 1991) do not support the majority's opinion.² These decisions hold that advance written notice to the attorney is sufficient regardless of whether the defendant has received such written notice. This result is consistent with rule 3.030(a) of the Florida Rules of Criminal Procedure which provides that every <u>written motion</u>, unless it is one as to which a hearing **ex** parte is authorized, and every <u>written</u> notice, demand, and similar paper shall be served on each party. Rule

² It should be noted that <u>Roberts</u> and <u>Rowe</u>, upon which the majority rely, were decided by the second district, the same court that decided <u>Grubbs</u>.

-3-

3.030(b) further provides that where service is required or permitted to be made upon a party represented by an attorney, service shall be made upon the attorney unless service upon the party himself is ordered by the court. Rule 3.030 and section 775.084(3)(b) are consistent in-requiring advance written notice. However, the rule specifically and unequivocally governs service where a party is represented by an attorney. In this instance, service must be made upon the attorney unless the court orders otherwise. In both <u>Roberts</u> and <u>Rowe there was advance</u> written notice, unlike this case.

In Judge v.) State 16 F.L.W. D2337 (Fla. 2d DCA September 6, 1991), the Second District held that even *if* the defense attorney was served with notice of intent to habitualire but the defendant **himself was** not personally aware of the possibility of an habitual offender sentence, the defendant would be entitled to relief under rule 3.800(a) to correct an illegal sentence. <u>oudge reaffirms Grubbs</u> and <u>Ivey</u>. Both the majority and concurring opinions in <u>Judge recognize</u> that failure to provide advance written notice renders any subsequent habitual offender sentence illegal. Both opinions in <u>Judge</u> recognize that under the facts of that case where defense counsel received written notice, the defendant would not have to receive written notice if the defendant had prior knowledge that he could be sentenced **as** an habitual offender. The concurring opinion points out that, **if** the defendant did have such prior knowledge, the requirements of <u>Roberts</u> would be satisfied.

I concede that <u>Bradford v. State</u>, 567 So.2d 911 (Fla. 1st DCA 1990), <u>rev. denied</u>, 577 So.2d 1325 (Fla. 1991) **appears** to support the majority's position that record not ce is sufficient. However, <u>Bradford</u> fails to address the point that the fai use to provide the required advance written notice renders any subsequent habitual offender sentence <u>illegal</u>. The majority

-4-

argues that its holding is not contrary to our prior rulings in <u>Nunziata</u> and <u>Sweat</u> because it is not requiring the defendant to show harm, but instead is allowing the state to show lack of harm. However, both <u>Nunziata</u> and <u>Sweat</u> expressly hold that lack of harm to the defendant is not the test. <u>See also</u> <u>Edwards</u>; <u>Grubbs</u>

This case is analogous to the situation where a trial court gives record reasons for departing from a guidelines sentence but fails to provide written reasons for its departure.³ Clearly, the guidelines departure is reversible error. Pope v. State, 561 So.2d 554 (Fla. 1990). The defendant in the guidelines situation is not required to show harm nor can the state claim that the sentence is proper due to lack of harm because harm is not the test. If a trial court must follow the requirement of providing written reasons in a departure case, there is no logical basis to rule that the state is not required to give advance written notice as mandated by the habitual offender Pope does not merely stand for the sole proposition that written statute. findings are necessary for proper judicial review: record findings would normally suffice for this purpose. However, Pope goes further and enforces the requirement for written reasons by mandating that any departure sentence must be accompanied by contemporaneous written reasons and that failure to provide those written reasons is per se reversible error.

I do not consider it my function as a judge, under the guise of judicial interpretation, to rewrite a statute which is clear on its face and has been interpreted by several decisions based upon its plain and clear meaning to require advance written notice, without which any habitual offender

5 .--- #40.B

-5-

and the same and a second substitution of the state

³ See §921.011(6), Fla. Stat. (1989); Fla. R. Crim. P. 3.701(d)(11).

sentence imposed is illegal. I cannot **say** that this interpretation is **unreasonable.** To require the state to give this requisite notice **does** not **place** any undue burden upon it. If we are now *to* allow non-written notice to suffice, we are opening the door to a requirement of deciding on a case-bycase basis whether non-written notice is sufficient. If the legislature desires such a result, it should amend the statute and delete **the** word "written".

Regardless of its protestations to the contrary, the majority opinion is in conflict with the Second District's opinions in Grubbs, and Ivey, the Fourth District's opinion in Edwards, and the rationale of Pope. Also, even with its disclaimers, the majority has cast serious doubt upon the viability of our earlier cases of <u>Munziata</u> and <u>Sweat</u>. Because of this conflict, I would certify this matter to the Florida Supreme Court.

Accordingly, I would affirm appellant's convictions and vacate appellant's sentences as an habitual violent felony offender and remand this **case** for resentencing.

GOSHORN, C.J., COWART and PETERSON, JJ., concur.

-6-